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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/676,590

10/01/2003

Sig Harold Badt JR.

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24587

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03/13/2007

ALCATEL USA

INTELLECTUAL PROPERTY DEPARTMENT

3400 W. PLANO PARKWAY, MS LEGL2

PLANO, TX 75075

EXAMINER

HERNANDEZ, JOSIAH J

ART UNIT

PAPER NUMBER

2609

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
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3 MONTHS

03/13/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary	Application No. 10/676,590	Applicant(s) BADT, SIG HAROLD	
	Examiner Josiah Hernandez	Art Unit 2609	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01 October 2003.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 October 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>11/07/2003, 2/14/2005</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1-4, 6, 8-11, 13, 15-18, and 20 are rejected under 35 U.S.C. 102(e) as being anticipated over Dvorak (US PGPub 2005/0071171).

As to claim 1, Dvorak discloses a computer interface system (see paragraphs [0005] lines 2-4; [0019] lines 4-8), comprising: a microphone that receives audio input from a user (see paragraph [0020] lines 18-21); a voice recognition mechanism (see paragraphs [0006] lines 1-5, [0007] lines 4-10); and a graphical user interface that prompts the user for expected inputs that the user can speak at designated points in a dialog according to a specified grammar (see paragraphs [0005] lines 5-10, [0006] lines 4-8); wherein prompts may specify the type of expected input (see paragraph [0007] lines 16-18); wherein prompts may

specify words that are recognized by the system (see paragraph [0008] lines 14-18).

As to claim 8, Dvorak discloses a computer program product in a computer readable medium for use in a computer interface system (see paragraphs [0019] and [0020]), the computer program product comprising: first instructions for receiving audio input from a user (see paragraphs [0005] lines 1-5, [0020] lines 18-21); second instructions for automatic voice recognition (see paragraphs [0006] lines 1-5, [0007] lines 4-10); and third instructions for displaying a graphical user interface that prompts the user for expected inputs that the user can speak at designated points in a dialog according to a specified grammar (see paragraphs [0005] lines 5-10, [0006] lines 4-8); wherein prompts may specify the type of expected input (see paragraph [0007] lines 16-18); wherein prompts may specify words that are recognized by the system (see paragraph [0008] lines 14-18).

As to claim 15, Dvorak discloses a method for interfacing between a computer and a human user (see paragraphs [0019] and [0020]), the method comprising the computer-implemented steps of: receiving audio input from the user (see paragraphs [0005] lines 1-5, [0020] lines 18-21); interpreting the audio input via voice recognition (see paragraphs [0006] lines 1-5, [0007] lines 4-10); and displaying a graphical user interface that prompts the user for expected

inputs that the user can speak at designated points in a dialog according to a specified grammar (see paragraphs [0005] lines 5-10, [0006] lines 4-8); wherein prompts may specify the type of expected input (see paragraph [0007] lines 16-18); wherein prompts may specify words that are recognized by the system (see paragraph [0008] lines 14-18).

As to claims 2, 9, and 16, Dvorak discloses a multi-modal input system wherein prompts that represent non-terminal tokens in the grammar are replaced with one of a set of other prompts in the grammar in response to the spoken input (see figure 3). Dvorak shows in figure 3 that after the non-terminal tokens (words or keywords) are spoken it then goes to the next set of prompts.

As to claims 3, 10, and 17, Dvorak discloses a multi-modal input system wherein the graphical user interface is built automatically from a single dictionary and grammar specification (see paragraph [0007] lines 6-8 and lines 10-13).

As to claims 4, 11, and 18, Dvorak discloses said system with at least one speaker that provides audio prompts for expected inputs (see figure 5 and paragraph [0020] lines 11-13).

As to claims 6, 13, and 20, Dvorak discloses said system with a graphical user interface that further comprises a pull-down menu (see figure 4).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 5, 7, 12, 14, 19, and 21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Dvorak (US PGPub 2005/0071171) in view of Katsuranis (US PGPub 2005/0021336).

As to claims 5, 12, and 19, Dvorak does not specifically disclose a multi-modal input system that the prompt may further comprise a second graphical user interface window. Katsuranis teaches a multi-modal input system that displays and controls the content of a second graphical application window while in a first graphical application window in a windowed computing environment having a voice recognition engine (see abstract lines 1-5). It would have been obvious to one having ordinary

skill in the art at the time the invention was made to have modified the multi-modal input system of Dvorak with the feature of the first and second graphical user interface window as taught by Katsuranis. Doing so would have allowed a user using the system to be able to organize the graphical window he or she is working with and eliminate the frustration of having to toggle through numerous windows just to refer from one window to the other (see paragraphs [0004], [0005], [0033]).

As to claims 7, 14, and 21, Dvroak does not specifically disclose a multi-modal input system that comprises a set of reserved words that activate specified prompts when spoken by the user. Katsuranis teaches using key word commands to open, view, retrieve, and much more (see abstract lines 5-10). It would have been obvious to one having ordinary skill in the art at the time the invention was made to have modified the multi-modal input system of Dvorak with the features of a set of key word commands as taught by Katsuranis. Doing so gives the system key words that are not necessarily a non-terminal command but are intended to facilitate the navigation and experience of the user.

Conclusion

Art Unit: 2609

Any inquiry concerning this communication should be directed to Josiah Hernandez whose telephone number is 571-270-1646. The examiner can normally be reached from 7:30 pm to 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Xiao Wu can be reached on (571) 272-7761. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JH


XIAO WU
SUPERVISORY PATENT EXAMINER